

5 **SYSTEM AND METHOD FOR PROVIDING A FRAMEWORK FOR
NETWORK APPLIANCE MANAGEMENT IN A DISTRIBUTED
COMPUTING ENVIRONMENT**

Cross-Reference to Related Applications

10 This patent application is a conversion of U.S. provisional patent
applications, Serial No. 60/309,835, filed August 3, 2001, pending; and Serial No.
60/309,858, filed August 3, 2001, pending; the priority dates of which are claimed
and the disclosures of which are incorporated by reference.

Field of the Invention

15 The present invention relates in general to secure network appliance
management and, in particular, to a system and method for providing a framework
for network appliance management in a distributed computing environment.

Background of the Invention

20 Enterprise computing environments generally include both localized
intranetworks of interconnected computer systems and resources internal to an
organization and geographically distributed internetworks, including the Internet.
Intranetworks make legacy databases and information resources available for
controlled access and data exchange. Internetworks enable internal users to
access remote data repositories and computational resources and allow outside
users to access select internal resources for completing limited transactions or
25 data transfer.

Increasingly, network appliances, or simply "appliances," are being
deployed within intranetworks to compliment and extend the types of services
offered. As a class, network appliances have closed architectures and often lack a
standard user interface. These devices provide specialized services, such as

electronic mail (email) anti-virus scanning, content filtering, file, Web and print service, and packet routing functions.

5 Ideally, network appliances should be minimal maintenance devices, which are purchased, plugged into a network, and put into use with no further modification or change. Analogous to a cellular telephone, a network appliance should ideally provide the service promised without requiring active management by individual users or administrators.

10 Nevertheless, regular maintenance of networks appliance is necessary to ensure continued optimal performance. Operating system and application programs must be installed upon appliance installation and following any type of crash or abnormal service termination. As well, each appliance must be configured, preferably automatically, to comply with applicable security and administration policies. Moreover, as bug fixes and enhancements become
15 available, installed programs must be updated with patches, which must first be obtained from the appropriate sources and then installed on each individual device.

20 One common problem in maintaining network appliances is the increased workload imposed on individual servers to support appliance maintenance. The health and status of each appliance must be regularly monitored by a server to ensure proper performance and function. Accordingly, individual server loads increase with the addition of each new appliance. The tracking and management of configurations of individual appliances can become resource intensive, particularly in a large scale network environment containing numerous network appliances.

25 In the prior art, "push" solutions have been used to manage individual network appliances, whereby changes in configurations and programs are sent to individual appliances from a centralized server as necessary. The server stores each appliance configuration and lists names and versions of programs installed. Periodically, the server polls the pool of appliances to ascertain status and health
30 and pushes new updates out to individual appliances as necessary. However, push solutions are resource intensive and can exact a high performance load on each

server. Moreover, servers can fail to detect misconfigurations of appliances erroneously tracked with incorrect configurations.

Therefore, there is a need for an approach to providing autonomous network appliance configuration and management without requiring an active centralized server. Preferably, such an approach would utilize "pull" downloads of needed updates and would further lodge configuration and management responsibilities on individual appliances.

There is a further need for an approach to maintaining the health and status of individual appliances through periodic client-centric reporting. Preferably, such an approach would use a secure "heartbeat" automatically generated by individual appliances to report configuration and status information. As well, each responsible server would preferably generate an alert whenever a heartbeat report was not timely received.

There is a further need for an approach to providing distributed staging of program updates for network appliances. Preferably, such an approach would provide centralized component download management with the capability to instruct requesting appliances to redirect and download software updates from proxy component servers.

Summary of the Invention

The present invention provides a system and method for autonomously managing the configuration of network appliances deployed in a distributed network environment. Each network appliance executes an installed set of packages and files. Periodically, the appliance awakens to send a report to a network operations center to describe the current health and status of the appliance and provide application-specific data. The network appliance then obtains a catalog of up-to-date packages and files dynamically generated by a catalog server for that appliance. As necessary, the appliance requests and installs any updated packages and files from a component server. Each package includes self-installing instructions and is authenticated and decrypted prior to installation. Each file is received over a secure connection and is installed per instructions stored in a file information subdirectory at the networks operations center.

An embodiment of the present invention provides a system and a method for providing a framework for network appliance management in a distributed computing environment. A status report periodically received from each of a plurality of network appliances is recorded. Each status report contains health and status information and application-specific data for each network appliance. Configuration settings for each network appliance progressively assembled concurrent to providing installable components are maintained. A catalog listing currently installable components for each network appliance based on the configuration settings is dynamically provided.

A further embodiment provides a system and method for autonomously managing a network appliance deployed within a distributed computing environment. An internal catalog of components installed on one such network appliance is maintained, identified by component and version. A status report containing health and status information and application-specific data is periodically provided for the one such network appliance. A catalog of currently installable components dynamically generated for the one such network appliance is obtained. Non-current components are determined by comparing the components and versions listed in the obtained catalog against the internal catalog.

Still other embodiments of the present invention will become readily apparent to those skilled in the art from the following detailed description, wherein is described embodiments of the invention by way of illustrating the best mode contemplated for carrying out the invention. As will be realized, the invention is capable of other and different embodiments and its several details are capable of modifications in various obvious respects, all without departing from the spirit and the scope of the present invention. Accordingly, the drawings and detailed description are to be regarded as illustrative in nature and not as restrictive.

Brief Description of the Drawings

FIGURE 1 is a block diagram showing a system for providing a framework for network appliance configuration management.

FIGURE 2 is a block diagram showing the software modules of the individual servers comprising the system of FIGURE 1.

FIGURE 3 is a block diagram showing the software modules of an exemplary network appliance of FIGURE 1.

5 FIGURE 4 is a process flow diagram showing remote network appliance management, as performed by the system of FIGURE 1.

FIGURE 5 is a data structure diagram showing a package maintained by the component server of FIGURE 2.

10 FIGURE 6 is a flow diagram showing a method for providing a framework for network appliance management, in accordance with the present invention.

FIGURE 7 is a flow diagram showing the process performed by the network operations center of FIGURE 2.

15 FIGURE 8 is a flow diagram showing the process performed by the catalog server of FIGURE 2.

FIGURE 9 is a flow diagram showing the process performed by the component server of FIGURE 2.

FIGURES 10A and 10B are flow diagrams showing the process performed by the network appliance of FIGURE 3.

20 FIGURE 11 is a flow diagram showing the routine for downloading a package for use in the process of FIGURES 10A and 10B.

FIGURE 12 is a flow diagram showing the routine for downloading a file for use in the method of FIGURES 10A and 10B.

25 FIGURE 13 is a flow diagram showing the daemon process performed by the network operations center of FIGURE 2.

Detailed Description

FIGURE 1 is a network diagram 10 showing a system for providing a framework for network appliance management in a distributed computing environment, in accordance with the present invention. The distributed computing environment is preferably TCP/IP compliant. A plurality of individual network appliances (or simply "appliances") 11a-c are interconnected via an

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intranetwork 13. Each of the appliances 11a-c is autonomously managed and provides specified functionality, such as electronic mail (email) anti-virus scanning, content filtering, packet routing, or file, Web, or print service. Other forms of appliance services are feasible, as would be recognized by one skilled in the art.

In addition to providing the specified functionality, the various appliances 11a-c are autonomously self-configured and self-managed, as further described below with reference to FIGURE 3. Each appliance 11a-c periodically generates reports on status and health and provides application-specific data, known as "SecureBeats," to a centralized network operations center (NOC) 12. Each appliance 11a-c then obtains a catalog from a catalog server 15 operating on the network operations center 12. As necessary, packages and files are obtained from a component server 16, or alternatively, local component server 18. Packages and files are updated whenever the downloaded catalog indicates that a currently installed package or file is out of date.

Each appliance 11a-c is interconnected via an intranetwork 13 which is, in turn, interconnected to an internetwork 20, including the Internet, via a firewall 21 and border router 22. The local component server 18 is also interconnected via the intranetwork 13 and shares the same network domain with the appliances 11a-c. The network operations center 12 and component server 16 are external to the intranetwork 13 and are only accessible as remote hosts via the internetwork 20. Accordingly, the reporting and catalog functions are transacted with each appliance 11a-c in a secure session, preferably using the Secure Hypertext Transport Protocol (HTTPS). Furthermore, as further described below with reference to FIGURE 2, the file download function must also be transacted in a secure session. Other network configurations, topologies and arrangements of clients and servers are possible, as would be recognized by one skilled in the art.

Each appliance 11a-c maintains an internal catalog listing the packages and files currently installed. Immediately upon being interconnected to the intranetwork 13, each appliance 11a-c is remotely configured using a Web browser-based configuration, which installs the various packages and files

providing the specific functionality of the appliance, such as described in commonly-assigned related U.S. Patent application Serial No. _____, entitled "System And Method For Providing Web Browser-Based Secure Remote Network Appliance Configuration In A Distributed Computing Environment,"
5 filed January 25, 2002, pending, the disclosure of which is incorporated by reference.

On a regular periodic basis, each appliance 11a-c awakens and contacts the network operations center (NOC) 12 to upload the status report. Alternatively, the network operations center 12 can broadcast a "ping" query
10 message to all appliances 11a-c to wake up each appliance 11a-c and trigger a status report upload. Next, each appliance 11a-c contacts the catalog server 15 to retrieve a copy of a catalog of the most-up-to-date packages and files currently available. The catalog server 15 executes as part of the network operations center 12 and maintains a catalog database 14. The catalog server 15 dynamically
15 generates a catalog for each requesting appliance 11a-c based on the type and configuration of appliance.

Upon receiving the catalog from the catalog server 15, the appliance 11a-c determines whether updates to the configuration or installed applications are necessary. Updates are effected by downloaded components which include
20 packages and files. If an update is required, the appliance 11a-c requests and "pulls" the identified packages and files from the component server 16 or, alternatively, the local component server 18, for download. The component server 16 and local component server 18 maintain databases, component database 17 and local component database 19, respectively, in which the most-up-to-date
25 packages and files are stored. The appliance 11a-c downloads the required packages and files for subsequent installation.

In a further embodiment, the functionality of the network operations center 12 and component server 16 can be combined into a single server (not shown) or implemented on separate systems for each of the network operations
30 center 12, catalog server 15, and component server 16. The use of separate servers for publishing the catalog and providing component downloads of

packages and files allows finer-grained distributed processing of network appliance configuration and management.

Individual packages and files are optionally staged for download by a local component server 18 interconnected via the intranetwork 13. The close proximity of the local server 18 to the appliances 11a-c allows for faster and more convenience component downloads and avoids bandwidth congestion at the border router 22.

The individual computer systems, including servers and clients, are general purpose, programmed digital computing devices consisting of a central processing unit (CPU), random access memory (RAM), non-volatile secondary storage, such as a hard drive or CD ROM drive, network interfaces, and peripheral devices, including user interfacing means, such as a keyboard and display. Program code, including software programs and data, are loaded into the RAM for execution and processing by the CPU and results are generated for display, output, transmittal, or storage.

FIGURE 2 is a block diagram showing the software modules 30 of the individual servers comprising the system 10 of FIGURE 1. The servers include the network operations center 12, catalog server 15 and component server 16, plus local component server 18.

The network operations center 12 includes two modules: status monitor 31 and status daemon 32. The catalog server 15 executes as part of the network operations center 12. The status monitor 31 receives the periodic status reports from the individual network appliances 11a-c (shown in FIGURE 1), as further described below with reference to FIGURE 7. Each status report is recorded and registered in an appliance status table 33, which notes the appliance user identifier (UID) and time of each report.

The status daemon 32 executes as an independent process that periodically awakens and examines the appliance status table 33 to determine whether any of the appliances 11a-c have failed to report, as further described below with reference to FIGURE 13. As necessary, an alert is generated to inform an administrator of a potentially faulty appliance.

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The catalog server 15 includes four modules: validation 34, catalog engine 35, database 36, and crypto 37. The validation module 34 validates catalog requests received from individual appliances 11a-c. In the described embodiment, each appliance 11a-c sends a user identifier (UID) as part of each catalog request, which is used to validate the identity of the requesting appliance.

The catalog engine 35 dynamically generates catalogs 38 listing the most-up-to-date packages and files for download on an individual appliance basis. In the described embodiment, the catalogs 38 are generated in the Extensible Markup Language (XML), although any other form of catalog description could also be used. The catalog engine 35 refers to the appliance status table 33 to determine the current configuration of each appliance.

The database module 36 interfaces to the main database 14 to access the catalogs 38 maintained therein. In the described embodiment, the main database 14 is a structured query language (SQL) based database. The catalog information is stored as structured records indexed by user identifiers.

The crypto module 37 provides asymmetric (public key) and symmetric encryption. Both forms of cryptography are needed to transact a secure session with each appliance 11a-c. As well, the network operations center 12 uses the crypto module 37 to digitally sign and encrypt packages that are staged in the component database 17 and local component database 19 (both shown in FIGURE 1).

The component server 16 includes four modules: validation 39, component download 40, database 41 and 42. The validation module 40 validates component requests received from individual appliances 11a-c. In the described embodiment, each appliance 11a-c sends a user identifier (UID) as part of each component request, which is used to validate the identity of the requesting appliance.

The component download module 40 downloads requested packages 43 and files 44 to validated network appliances 11a-c. The component download module 40 records the names and versions of applications installed on each network appliance 11a-c by maintaining a set of configuration settings (not

shown) for each network appliance 11a-c progressively assembled concurrent to the downloading of each requested package 43 and file 44. Accordingly, the persistent configured state and applications suite installed on a network appliance 11a-c could be completely restored by the component server 16, should the set of installed applications on any given network appliance 11a-c become corrupt or rendered otherwise unusable through a catastrophic crash or service termination.

As further described below with reference to FIGURE 4, each package 43 contains an encrypted self-contained set of installable software digitally signed by the network operations center 12. Packages can be downloaded in non-secure sessions. Files 45 are not signed or encrypted and must be downloaded in secure sessions. The installation location on a given appliance 11a-c is determined by the instructions encoded in a file-information subdirectory on the network operations center 12.

The database module 41 interfaces to the component database 17 to access the packages 43 and files 44 maintained therein. In the described embodiment, the component database 17 is a structured query language (SQL) based database.

The crypto module 42 provides asymmetric (public key) and symmetric encryption. Both forms of cryptography are needed to transact a secure session with each appliance 11a-c.

The functionality of the local component server 18 and local component database 19 is substantially identical to that of the component server 16 and component database 17. The only distinction between the two component servers is the location of each within the system 10 of FIGURE 1. The local component server 18 effectively functions as a proxy component server by staging components for convenient download by locally proximate network appliances.

In addition, the functionality of the network operations center 12, catalog 15 and component server 16 could be combined into a single integrated server or provided as separate systems deployed in various locations and combinations throughout the intranetwork 13 and internetwork 20, as would be recognized by one skilled in the art.

FIGURE 3 is a block diagram showing software modules 50 of an exemplary network appliance 11a of FIGURE 1. Application-specific logic has been omitted for clarity. As pertains to autonomous configuration and management, each network appliance 11a includes four modules: catalog checker 51, crypto 52, installer 53, and status daemon 54. The catalog checker 51 requests and examines a catalog returned from the catalog server 15 (shown in FIGURE 1) to determine whether software updates are required. Each downloaded catalog, *catalog.new*, is checked against an internal catalog 55, *catalog.cur*. The internal catalog 55 lists the installed applications 56 currently used by the appliance 11a. Required packages 57 and files 58 are downloaded or "pulled" from the component server 16. The installed applications 56 include both the functional programs implemented on each network appliance 11a-c to perform the application-specific logic for a given function, as well as operating system and support software, including the software modules 50. Accordingly, the autonomous configuration and self-management of each network appliance 11a-c can enable a vendor to provide a complete service model whereby updates and device recovery is handled automatically and without end-user intervention.

The crypto module 52 provides asymmetric (public key) and symmetric encryption. Both forms of cryptography are needed to transact a secure session with the network operations center 12 and component server 16. Public key encryption is also used to authenticate and decrypt downloaded packages 57.

The installer 53 installs downloaded packages 57 and files 58. Each individual package 57 includes as complete setup program, as further described below with reference to FIGURE 5. Each file 58 must be installed in a location identified in a corresponding file information subdirectory, *fileinfo*, on the network operations center 12.

Finally, the status daemon 54 periodically awakens and sends a report of the health and status of the network appliance 11a to the network operations center 12. The status report identifies the reporting appliance 11a and provides machine-specific data, including the load on the processor, available disk space

and application-specific information, such as the number of emails passing through the device. The status report is referred to as a "SecureBeat."

Each software module of the individual servers and exemplary appliance 11a is a computer program, procedure or module written as source code in a conventional programming language, such as the C++ programming language, and is presented for execution by the CPU as object or byte code, as is known in the art. The various implementations of the source code and object and byte codes can be held on a computer-readable storage medium or embodied on a transmission medium in a carrier wave. The individual servers and exemplary appliance 11a operate in accordance with a sequence of process steps, as further described beginning below with reference to FIGURE 6.

FIGURE 4 is a process flow diagram showing remote network appliance management, as performed by the system of FIGURE 1. Each network appliance 11a-c is autonomously managed. Management requires two mandatory phases, status reporting (step 61-63) and catalog examination (step 64-67), and two optional phases, package downloading (steps 68-71) and file downloading (steps 72-75).

During the status reporting phase, the appliance 11a requests a secure session, preferably using HTTPS (step 61). Upon the creation of a secure session (step 62), the appliance 11a sends a status report to the network operations center 12 (step 63). The status report is then logged by the network operations center 12.

During the catalog examination phase, the appliance 11a requests a secure session, preferably using HTTPS (step 64). Upon the creation of a secure session (step 65), the appliance 11a posts a user identifier (UID) (step 66). The catalog server 15 validates the identity of the requesting appliance 11a and, if valid, archives that the particular appliance 11a has connected at the current time in the appliance status table 33 (shown in FIGURE 2). The catalog server 15 then downloads the catalog to the requesting appliance 11a (step 67).

The package downloading and file downloading phases are performed when the appliance 11a determines that an installed application 56 (shown in FIGURE 3) is out of date. During the package downloading phase, the appliance

11a requests a non-secure session (step 68). Upon the creation of a non-secure session (step 69), the appliance 11a requests the necessary packages (step 70). The component server 16 validates the identity of the requesting appliance 11a by examining the package request. Each package request includes a user identifier (UID) and uniform resource locator (URL) indicating the location of the required package. The package is then downloaded to the requesting appliance 11a (step 71) for installation.

After receiving each package, the requesting appliance 11a clarifies that the package has been signed with the appropriate private key for the network operations center 12 using public key authentication. The package downloading phase (steps 70-71) is repeated until all required packages have been downloaded.

During the file downloading phase, the appliance 11a requests a secure session, preferably using HTTPS (step 72). Upon establishing a secure session (step 73), the appliance 11a requests the necessary files (step 74). The component server 16 validates the identity of the requesting appliance 11a by examining the file request. Each file request includes a user identifier (UID) and uniform resource located (URL) indicating the location of the required file. The file is then downloaded to the requesting appliance 11a (step 75) for installation.

After receiving each file, the requesting appliance 11a installs the downloaded file based on installation instructions found in the file information subdirectory on the network operations center 12. The file downloading phase (steps 74-75) is repeated until all required files have been downloaded.

In the described embodiment, the package downloading (steps 68-71) and file downloading (steps 72-75) phases must occur in sequential order. Individual packages can contain placeholder files that must be overwritten by appliance-specific files following package installation. To allow such appliance-specific dependencies, the packages must generally be installed first.

FIGURE 5 is a data structure diagram 80 showing a package 81 maintained by the component server 16 of FIGURE 1. Each package 81 includes a digital signature 82 that authenticates the package as having originated with the network operations center 12. Only those packages 81 containing a properly-

authenticated digital signature are installed by the individual network appliances 11a-c (shown in FIGURE 1). Each package 81 also includes an executable program 83, *install.exe*, which is executed by the appliance 11a-c to effect the installation of the package 81. Finally, each package contains the individual files to be installed 84 by the executable program 83.

Unlike packages, each file does not contain specific instructions for installation. Instead, the installing appliance 11a-c looks up the appropriate instructions in a specific file information subdirectory, called *fileinfo*, located on the network operations center 12. In the described embodiment, the subdirectory is located under \$USER/SecureBeat/Upload/*Application-Name*, where \$USER is a root directory and *Application-Name* refers to an installed application 56 (shown in FIGURE 3).

For example, to update a virus screening application, the subdirectory would be "\$USER/SecureBeat/Upload/V-Screen" and the configuration file would be *vscreen.upload.comp*. The contents of a sample configuration file are as follows:

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<UPLOAD DIR = "VSCREEN" SERVER = "BWSH ">
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The tag UPLOAD indicates the file is located in the subdirectory called "VSCREEN" and is to be retrieved from the server "BWSH."

FIGURE 6 is a flow diagram 100 showing a method for providing a framework for network appliance management, in accordance with the present invention. The individual components, including network operations center 12, catalog server 15, component server 16 and individual network appliances 11a-c, execute independently. Each of the components must be initialized and started (blocks 101-104) prior to appliance management. Upon respective initialization and starting, each component proceeds independently, as further described below with reference to FIGURES 7-10.

FIGURE 7 is a flow diagram 110 showing the process performed by the network operations center 12 of FIGURE 2. The network operations center 12 executes an iterative processing loop (blocks 111-115). During each iteration

(block 111), a secure session is established with a requesting appliance (block 112). Upon establishing a secure session, a status report is received from each appliance (block 113), after which the secure session is closed (block 114). During the secure session, the appliance reports the health and status of the machine. Processing continues (block 115) until the process is terminated or halted.

FIGURE 8 is a flow diagram 120 showing the process performed by the catalog server 15 of FIGURE 2. The catalog server 15 executes an iterative processing loop (blocks 121-129). During each iteration (block 121), a secure session is established with a requesting appliance (block 122). Upon establishing a secure session, the catalog server 15 receives the user identification (UID) of the requesting appliance (block 123). If the user identification is not valid (block 124), an error condition is generated (block 125) and the administrator is notified. Otherwise, the connection time of the requesting appliance is archived (block 126) in the appliance status table 34 (shown in FIGURE 2). A catalog is dynamically generated and sent to the requesting client (block 127). In the described embodiment, the catalog is generated as an XML document, although any other type of catalog description format could be used. The secure session is then closed (block 128). Processing continues (block 129) until the process is terminated.

FIGURE 9 is a flow diagram 140 showing the process performed by the component server 16 of FIGURE 2. The component server 16 executes an iterative processing loop (blocks 141-149). During each iteration (block 141), the component server 16 first establishes a secure session with the requesting appliance (block 142). The requesting appliance sends a request for an individual component to download which includes a user identifier (UID) and a URL indicating the location of the component to be downloaded. The request is received (block 143) and validated. If the user identification is not valid (block 144), an error condition is generated (block 145) and the administrator is notified. Otherwise, the requested component is downloaded to the requesting appliance (block 146) and the database is flagged to indicate that the downloaded

component was sent to the requesting appliance (block 147). The component server 16 then closes the present session (block 148). Note a secure session is required for downloading files while a non-secure session is used when downloading packages. Processing continues (block 149) until the process is terminated or halted.

FIGURES 10A and 10B are flow diagrams 160 showing the process performed by the network appliance 11a of FIGURE 3. Each network appliance 11a-c (shown in FIGURE 1) periodically awakens, sends a status report, receives a catalog, and downloads any required packages and files. In addition, each network appliance 11a-c executes any initial plug-ins and post-plug-ins prior to and following the reporting and updating phase (shown in FIGURE 4).

Thus, each network appliance 11a-c periodically awakens (block 161). In the described embodiment, each appliance 11a-c awakens once every 15 minutes, nine seconds. Any installed initial plug-ins are executed (block 162). By way of example, initial plug-ins include executables which monitor daemon processes, which must always be running. An initialization plug-in called "*VScreen.init*" executes as a watchdog process to determine if the daemon process is still running. The daemon process is restarted as necessary. As well, individual status reports are generated by the initial plug-ins, which must be executed prior to the reporting phase.

After executing any initial plug-ins, a secure session is established with the network operations center 12 (block 163) and the status report is sent (block 164). The secure session is then closed (block 165). A secure session is then established with the catalog server 16 (block 166) and the user identifier (UID) is sent (block 167). Upon validation by the catalog server 15, a dynamically-generated catalog is received from the catalog server 15 (block 168). The secure session is then closed (block 169).

Upon receiving the catalog from the catalog server 15, the catalog is examined (block 170). Each catalog includes a list of component names and versions, a tag indicating the server at which to locate and obtain the component, and the type of component, that is, package or file. If components are required

(block 172), packages are first iteratively downloaded (blocks 172-174) followed by files (blocks 175-177). For each package (block 172), the package is downloaded (block 173), as further described below with reference to FIGURE 11. Similarly, for each file (block 175), the file is downloaded (block 176), as further described below with reference to FIGURE 12.

Upon completion of the downloading of each required package and file, any post plug-ins are executed (block 178). Finally, the network appliance returns to a sleep mode (block 179). Processing continues until the process is terminated or halted.

FIGURE 11 is a flow diagram 180 showing the routine for downloading a package for use in the process of FIGURES 10A and 10B. The purpose of this routine is to connect to the component server 16 and retrieve any required packages for installation by an appliance 11a.

Thus, a non-secure session is first established with the component server 16 (block 181). A Uniform Resource Locator (URL), including the user identifier (UID), package name and version, are sent to the component server 16 (block 182). Upon being credentialed by the component server, the requested package is received (block 183) and the non-secure session is closed (block 184).

Each individual package 57 is authenticated and encrypted by the network operations center 12 prior to being staged in the component database 17 of the component server 16. Accordingly, the downloading appliance 11a first verifies that the package was digitally signed with the private key for the network operations center 12 (block 185), after which the package is installed (block 186), following the instructions stored therein. The routine then returns.

FIGURE 12 is a flow diagram 190 showing the routine for downloading a file 190 for use in the process of FIGURES 10A and 10B. The purpose of this routine is to connect to the component server 16 and retrieve any required files for installation by an appliance 11a. Each network appliance 11a-c connects to and downloads required files for installation per the instructions included in a file information subdirectory on the network operations center 12.

Thus, the network appliance 11a establishes a secure session with the component server 16 (block 191) and sends a uniform resource locator (URL), including user identifier (UID), file name and version, to the component server 16 (block 192). Upon being credentialed by the component server 16, the requested
5 file is received (block 193), and the secure session is closed (block 194). The file is installed based on the information stored in the file information subdirectory (block 195). The routine then returns.

FIGURE 13 is a flow diagram 200 showing the daemon process performed by the network operations center 12 of FIGURE 2. The daemon
10 process periodically awakens (block 201) and iteratively checks the status of each configured network appliance 11a-c (shown in FIGURE 1) managed by the network operations center 12. During each iteration (block 202), the network operations center 12 determines whether a status report has been received from each of the appliances 11a-c since the last reporting cycle (block 203) by
15 examining the appliance status table 34 (shown in FIGURE 2). If a report has not been received (block 204), an error is generated (step 205) and the administrator is notified. Processing continues with each successive appliance (block 206), after which the daemon process returns to sleep (block 207).

While the invention has been particularly shown and described as
20 referenced to the embodiments thereof, those skilled in the art will understand that the foregoing and other changes in form and detail may be made therein without departing from the spirit and scope of the invention.